

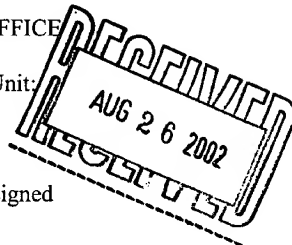


#4  
2162  
8-27-02

PATENT  
Docket No.: 34582-152456

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Patent application of : Group Art Unit:  
Ethan Rappaport, et al. : 2162  
Serial No.: 09/910,376 : Examiner:  
Filed: July 20, 2001 : Not Yet Assigned  
For: REWARDS PROGRAM USING :  
ELECTRONICALLY ENCODED :  
INSTRUMENTS :



RECEIVED  
AUG 23 2002  
GROUP 3600

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231  
Sir:

Pursuant to 37 C.F.R. § 1.56 and in accordance with 37 C.F.R. §§ 1.97-1.98, the Applicant attaches hereto a completed PTO Form 1449 (modified) and copies of the information cited therein.

CERTIFICATE OF MAILING  
UNDER 37 C.F.R. 1.8(a)

I hereby certify that this paper, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date indicated below, with sufficient postage, as first class mail, in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231.

BY

Christopher J. Dervishian

DATE: August 16, 2002

**IDENTIFICATION OF TIME OF FILING THIS  
INFORMATION DISCLOSURE STATEMENT**

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. Accordingly, no certification, petition, or fee is required. However, if a fee is due, please charge, or credit any overcharge to, Deposit Account No. 50-0573.

**IDENTIFICATION OF REFERENCES AND  
CONCISE EXPLANATION OF RELEVANCE  
OF REFERENCES NOT IN THE ENGLISH LANGUAGE**

U.S. Patents

4,516,950  
5,056,019  
5,491,326  
5,566,327  
5,754,654  
5,806,045  
5,816,918  
5,982,520  
6,061,660  
6,157,966  
6,200,216  
6,325,292  
6,363,351

U.S. Patent Application

US2001/0001145A1

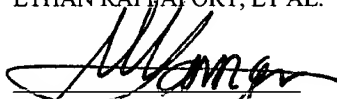
It is requested that the Examiner review the above-identified references and make them of record in the instant application as required by M.P.E.P. § 609. It is also requested that the Examiner initial the enclosed duplicate substitute form 1449 and return one copy to the Applicant's undersigned representative.

The references listed in this Information Disclosure Statement comprise the most pertinent prior art known to Applicants and their attorneys as of the date hereof. This Information Disclosure Statement should not be construed as a representation that the cited references are material or that no better art exists.

Respectfully submitted,


ETHAN RAPAPORT, ET AL.

By:



GREGORY J. LAVORGNA  
Registration No. 30,469  
Drinker, Biddle & Reath, LLP  
One Logan Square  
18<sup>th</sup> and Cherry Streets  
Philadelphia, PA 19103-6996  
Telephone: (215) 988-3309  
Facsimile: (215) 988-2757

Attorney for Applicant

SUBSTITUTE FORM PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. <b>34582-152456</b>		SERIAL NO. <b>09/910,376</b>	
<b>INFORMATION DISCLOSURE CITATION</b> 				APPLICANT: <b>Ethan Rappaport, et al.</b>			
				FILING DATE <b>July 20, 2001</b>		GROUP <b>2162</b>	

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	AA	4,516,950	05/1985	Berman et al.	446	297	
	AB	5,056,019	10/1991	Schultz et al.	364	405	
	AC	5,491,326	2/1996	Marceau et al.	235	381	
	AD	5,566,327	10/1996	Schr	395	600	
	AE	5,754,654	5/1998	Hiroya et al.	380	24	
	AF	5,806,045	9/1998	Biorge et al.	705	14	
	AG	5,816,918	10/1998	Kelly et al.	463	16	
	AH	5,982,520	11/1999	Weiser et al.	359	172	
	AI	6,061,660	5/2000	Eggleston et al.	705	14	
	AJ	6,157,966	12/2000	Montgomery et al.	710	8	
	AK	6,200,216	3/2001	Peppel	463	1	
	AL	6,325,292	12/04/01	Sehr, Richard P.	235	492	
	AM	6,363,351	3/2002	Moro	705	1	
	AN	US2001/0001145A1	5/2001	Barnett et al.	705	14	
	AO						

GROUP 3600

AUG 23 2002

RECEIVED

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
	AP							
	AQ							

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

AR					
AS					
<table border="1"> <tr> <td>EXAMINER</td> <td>DATE CONSIDERED</td> </tr> <tr> <td colspan="2"> <b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. </td> </tr> </table>		EXAMINER	DATE CONSIDERED	<b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
EXAMINER	DATE CONSIDERED				
<b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					